

# Foundations of Approximation Theory Spring 2017:

## Theorem list for the exam

### Theorems for 20 points

1. [Piecewise constant approximation] Prove that for  $g \in W_p^1(\mathbb{R})$ ,  $1 \leq p \leq \infty$ ,

$$E(g, S(N_1)^h)_p \leq h \|g'\|_p, \quad h > 0.$$

2. [Discrete Besov norm] Define the integral form of the Besov semi-norm (over  $[0, 1]$ ). Prove the equivalency with the discrete dyadic form.

3. [Refinability of B-splines] Show that for  $r \geq 1$ , the univariate B-spline  $N_r$ , satisfies the two-scale relation

$$N_r(x) = \sum_{k=0}^r 2^{1-r} \binom{r}{k} N_r(2x - k), \quad \forall x \in \mathbb{R}.$$

4. [Band-limited functions] The Sinc function is defined by  $\phi(x) := \mathcal{F}^{-1}(\mathbf{1}_{[-\pi, \pi]^n})(x)$ .

(i) Prove that  $\{\phi(\cdot - k)\}_{k \in \mathbb{Z}^n}$  is an ortho-basis of  $S(\phi)$ .

(ii) Prove that if  $f \in L_2(\mathbb{R}^n) \cap C^1(\mathbb{R}^n)$ , and  $f \in S(\phi)$ , then  $f(x) = \sum_{k \in \mathbb{Z}^n} f(k) \phi(x - k)$ .

5. [Nikolskii-type equivalence over convex domains] Prove that for any  $n, r \geq 1$  and  $0 < p, q \leq \infty$ , there exist constants of equivalence that depend only on these parameters, such that for any bounded convex domain  $\Omega \subset \mathbb{R}^n$  and any algebraic polynomial  $P \in \Pi_{r-1}(\mathbb{R}^n)$

$$\|P\|_{L_q(\Omega)} \sim |\Omega|^{1/q-1/p} \|P\|_{L_p(\Omega)}.$$

**Comment:** You may use John's Theorem and the equivalence of finite dimensional Banach spaces.

Let  $\{\phi_m\}$  be a sequence of functions in  $L_p(\mathbb{R}^n)$ ,  $0 < p < \infty$ , that satisfy

(i)  $\text{supp}(\phi_m) \subseteq E_m$ , with  $0 < |E_m| < \infty$  and  $\|\phi_m\|_\infty \leq c |E_m|^{-1/p} \|\phi_m\|_p$ ,

(ii) If  $x \in E_m$ , then

$$\sum_{x \in E_j, |E_j| \geq |E_m|} \left( \frac{|E_m|}{|E_j|} \right)^{1/p} \leq c.$$

6. Under the above assumptions, let  $F = \sum_{j \in \Lambda} \phi_j$ , with  $\#\Lambda \leq N$  and  $\|\phi_j\|_p \leq L$ , for  $j \in \Lambda$ . Prove that

$$\|F\|_p \leq cL(\#\Lambda)^{1/p}.$$

7. Under the above assumptions, denote (formally)  $f = \sum_m \phi_m$  and assume that for  $0 < \tau < p$ ,

$N(f) := \left( \sum_m \|\phi_m\|_p^\tau \right)^{1/\tau} < \infty$ . Then, prove that with the rearrangement  $\|\phi_{m_1}\|_p \geq \|\phi_{m_2}\|_p \geq \dots$ , we have that

$$\|f - S_M\|_p \leq cM^{-\alpha}N(f), \quad \text{for } S_M := \sum_{j=1}^M \phi_{m_j}.$$

8. [Jackson theorem for Wavelets] Let  $f \in L_2(\mathbb{R}) \cap B_\tau^\alpha(\mathbb{R})$ ,  $1/\tau = \alpha + 1/2$ . Let  $\{\psi_I\}, \{\tilde{\psi}_I\}$  be a compactly supported, piecewise polynomial, biorthogonal wavelet and dual wavelet basis. Let  $\sigma_N(f)_p := \inf_{g \in \Sigma_M} \|f - g\|_2$ , where  $\Sigma_M$  is the collection of  $M$ -term (or less) wavelets. Prove that

$$\sigma_M(f)_2 \leq cM^{-\alpha} |f|_{B_\tau^\alpha}.$$

**Comment:** You may use the results of (7), but you need to explain why the conditions hold. You may use the equivalence

$$|f|_{B_\tau^\alpha} \sim \left( \sum_I |\langle f, \tilde{\psi}_I \rangle|^\tau \right)^{1/\tau}.$$

9. [Bernstein inequality for Wavelets] Let  $f \in L_p(\mathbb{R})$ ,  $1 < p < \infty$ ,  $f = \sum_{I \in \Lambda} c_{I,p} \psi_{I,p}$  with  $\#\Lambda \leq N$ . Then show

$$|f|_{B_\tau^\alpha(L_\tau(\mathbb{R}))} \leq cN^\alpha \|f\|_p, \quad \frac{1}{\tau} = \alpha + \frac{1}{p}.$$

**Comment:** You may use

$$\|f\|_p \sim \|S(f, \cdot)\|_p, \quad S(f, x) := \left( \sum_I c_{I,p}(f)^2 |I|^{-2/p} \chi_I(x) \right)^{1/2}.$$

### Theorems for 30 points

10. [Bernstein for trigonometric polynomials]. Prove that for any univariate real trigonometric polynomial  $P$  of degree  $N$ :

(i)  $P'(t)^2 + N^2 P(t)^2 \leq N^2 \|P\|_\infty^2, t \in [-\pi, \pi]$ .

(ii)  $\|P^{(k)}\|_\infty \leq N^k \|P\|_\infty, k \geq 1$ .

11. [Trigonometric approximation of piecewise algebraic polynomials] Let  $f \in L_\infty[-\pi, \pi]$ ,  $\|f\|_\infty \leq 1$ , be a piecewise algebraic polynomial  $f(t) = \sum_{k=1}^M P_k(t) \mathbf{1}_{(t_k, t_{k+1}]}(t)$ , where  $-\pi < t_1 < t_2 < \dots < t_{M+1} < \pi$ , are knots and  $P_k$ ,  $k=1, \dots, M$ , are algebraic polynomials of degree  $r-1$ , i.e.  $P_k(t) = \sum_{j=0}^{r-1} a_{k,j} t^j$ .

- (i) Prove that for sufficiently large  $N$ ,  $E_N(f)_p \leq C(r, p) \left(\frac{M}{N}\right)^{1/p}$ ,  $1 \leq p < \infty$ , where  $E_N(f)_p$  is the degree of approximation using trigonometric polynomials of degree  $N$ . You may assume the Jackson inequality.
- (ii) Prove that  $f \in B_\tau^\alpha(L_\tau)$ , for any  $\alpha > 0$ , with  $1/\tau = \alpha + 1/p$ .

12. [Equivalence of modulus of smoothness K-functional] Let  $1 \leq p \leq \infty$ .

- (i) Prove that for any  $g \in W_p^r(\mathbb{R})$ , we have  $\omega_r(g, t)_p \leq ct^r |g|_{r,p}$ ,  $t > 0$ .
- (ii) Prove that for any  $f \in L_p(\mathbb{R})$ , we have  $\omega_r(f, t)_p \leq cK_r(f, t^r)_p$ ,  $t > 0$ .

**Comment:** You may use the Minkowski integral inequality.

13. [Kernel approximation] Assume a kernel operator  $T$ , with kernel  $k(x, y)$  satisfies for  $r \geq 1$

- (i)  $P(x) = TP(x) = \int_{\mathbb{R}^n} k(x, y) P(y) dy$ ,  $\forall P \in \Pi_{r-1}(\mathbb{R}^n)$ ,  $\forall x \in \mathbb{R}^n$ .
- (ii)  $|k(x, y)| \leq c \frac{1}{(1+|x-y|)^{n+r+\varepsilon}}$ , for some  $\varepsilon > 0$  and any  $x, y \in \mathbb{R}^n$ .

Prove that for  $f \in W_\infty^r(\mathbb{R}^n)$  and  $T_h f(x) := \int_{\mathbb{R}^n} h^{-n} k(h^{-1}x, h^{-1}y) f(y) dy$ ,

$$\|f - T_h f\|_\infty \leq ch^r |f|_{r, \infty}, \quad h > 0,$$

**Comment:** You may use the Taylor remainder estimate for  $p = \infty$

$$R_{r,x} f(y) \leq c |y-x|^r \max_{z \in B(x, |y-x|)} \max_{|\alpha|=r} |\partial^\alpha f(z)|.$$

14. [Spectral  $L_2$  approximation of the Sinc] The Sinc function is defined by  $\phi(x) := \mathcal{F}^{-1}(\mathbf{1}_{[-\pi, \pi]^n})(x)$ . Then show that for any  $r \geq 1$ ,  $h > 0$  and  $f \in W_2^r(\mathbb{R}^n)$

$$E(f, S(\phi)^h)_2 \leq ch^r |f|_{r, 2}.$$

15. [Jackson theorem for trigonometric polynomials]. Prove that for a periodic function  $f \in L_p([-\pi, \pi])$ ,  $1 \leq p \leq \infty$ , and any  $r \geq 1$

$$E_N(f)_p \leq C(r) \omega_r(f, N^{-1})_p,$$

where  $E_N(f)_p$  is the degree of approximation by trigonometric polynomials of degree  $N$ .

**Comment:** For the Jackson kernel  $J_{N,r}$ , you may assume the estimate

$$\int_0^\pi t^k J_{N,r}(t) dt \leq C(r) N^{-k}, \quad k = 0, \dots, 2r-2.$$

16. [Jackson & Bernstein machinery] Let the sequence  $\Phi := \{\Phi_N\}_{N \geq 0} \subset X$ , where  $X$  is a Banach space, satisfy

- (i)  $0 \in \Phi_N, \Phi_0 = 0$ ,
- (ii)  $\Phi_N \subset \Phi_{N+1}$ ,
- (iii)  $a\Phi_N = \Phi_N, \forall a \neq 0$ .
- (iv)  $\Phi_N + \Phi_N \subset \Phi_{cN}$ , for some fixed  $c > 0$ ,
- (v)  $\bigcup_N \Phi_N$  is dense in  $X$ ,

We denote  $E_N(f)_X := \min_{\varphi \in \Phi_N} \|f - \varphi\|_X$ . For  $r \geq 1$ , let  $Y = Y_r \subset X$  and assume that the Jackson and Bernstein inequalities hold:

- (i)  $E_N(g)_X \leq cN^{-r} \|g\|_Y, \forall g \in Y$ ,
- (ii)  $\|\varphi\|_Y \leq cN^r \|\varphi\|_X, \forall \varphi \in \Phi_N$ .

Then prove the characterization of the approximation space for any  $0 < \alpha < r, 1 \leq q < \infty$ ,

$$A_q^\alpha(X) = (X, Y)_{\alpha/r, q}.$$

### Comments

- (i) You may use the discrete form of the semi-norms

$$\|f\|_{A_q^\alpha} \sim \left( \sum_{m=0}^{\infty} (2^{m\alpha} E_{2^m}(f))^q \right)^{1/q}, \quad \|f\|_{\theta, q} \sim \left( \sum_{m=0}^{\infty} (2^{m\theta r} K(f, 2^{-mr}))^q \right)^{1/q}.$$

- (ii) You may use the discrete Hardy inequality: For non-negative sequences  $\{a_m\}_{m=0}^{\infty}, \{b_m\}_{m=0}^{\infty}$ , if

$$a_m \leq c 2^{-mr} \sum_{k=0}^m 2^{kr} b_k, \quad \forall m \geq 0, \quad \text{then} \quad \left( \sum_{m=0}^{\infty} (2^{m\alpha} a_m)^q \right)^{1/q} \leq c \left( \sum_{m=0}^{\infty} (2^{m\alpha} b_m)^q \right)^{1/q}.$$